## Using Seismic Source Parameters to Model Frequency-Dependent Surface-Wave Radiation Patterns

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#### Abstract

The excitation of surface waves depends on the frequency-dependent eigenfunctions of the Earth, which are determined numerically. As a consequence, radiation patterns of Rayleigh and Love waves cannot be calculated analytically and vary with source depth and with frequency. Owing to the importance of surface-wave amplitudes for inversions of source processes as well as studies of the elastic and anelastic structure of the Earth, assessing surface-wave radiation patterns for different source mechanisms is desirable. A data product developed in collaboration with the Incorporated Research Institutions for Seismology (IRIS) Consortium provides visualizations of the radiation patterns for Rayleigh and Love waves for all possible source mechanisms. Radiation patterns for known earthquakes are based on the moment tensors reported by the Global Centroid Moment Tensor project. These source mechanisms can be modified or moment tensor components can be chosen by the user to assess their effect on Rayleigh- and Love-wave radiation patterns.

#### Introduction

and Love waves

Owing to the shear failure mechanisms of earthquakes, amplitudes of seismic waves vary with azimuth from the epicenter and with wavenumber. Radiation patterns of body waves can be expressed in analytic form (Aki and Richards, 2002). The formulae for the radiation patterns of surface waves (Ben-Menahem and Singh, 1981) depend on the fundamental-mode eigenfunctions at the depth of the hypocenter and coefficients determined by the strike  $\phi_f$ , dip  $\delta$  and slip angle  $\lambda$  of a doublecouple (DC) source mechanism. For Rayleigh waves, the excitation functions  $S_{\rm R}(\omega)$ ,  $P_{\rm R}(\omega)$ , and  $Q_{\rm R}(\omega)$  (Ben-Menahem and Israel, 1970) are derived from the radial eigenfunctions for spheroidal modes, and for Love waves,  $P_{\rm L}(\omega)$  and  $Q_{\rm L}(\omega)$ are derived from the radial eigenfunctions of toroidal modes evaluated at the source depth. The excitation functions determine the symmetry of radiation patterns: radiation patterns that only depend on  $P_{R/L}(\omega)$  have a fourfold symmetry, a dependence on  $Q_{R/L}(\omega)$  causes a twofold symmetric radiation pattern and radiation patterns depending only on  $S_{\rm R}(\omega)$  are circularly symmetric. Together with the fault geometry factors for Rayleigh waves

 $s_{\rm R}(\phi) = \sin\lambda\sin\delta\cos\delta$  $p_{\rm R}(\phi) = \cos\lambda\sin\delta\sin2(\phi - \phi_f) - \sin\lambda\sin\delta\cos\delta\cos2(\phi - \phi_f)$  $q_{\rm R}(\phi) = \sin\lambda\cos2\delta\sin(\phi - \phi_f) + \cos\lambda\cos\delta\cos(\phi - \phi_f)$ (1)

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$$p_{\rm L}(\phi) = \sin\lambda\sin\delta\cos\delta\sin2(\phi - \phi_f) + \cos\lambda\sin\delta\cos2(\phi - \phi_f)$$
$$q_{\rm L}(\phi) = -\cos\lambda\cos\delta\sin(\phi - \phi_f) + \sin\lambda\cos2\delta\cos(\phi - \phi_f), \quad (2)$$

the complex radiation pattern functions are

$$V_{\rm R}(\omega,\phi) = s_{\rm R}(\phi)S_{\rm R}(\omega) + p_{\rm R}(\phi)P_{\rm R}(\omega) + iq_{\rm R}(\phi)Q_{\rm R}(\omega)$$
$$V_{\rm L}(\omega,\phi) = p_{\rm L}(\phi)P_{\rm L}(\omega) + iq_{\rm L}(\phi)Q_{\rm L}(\omega), \tag{3}$$

in which  $\phi$  is the azimuth to stations where they are observed. The amplitude and phase radiation patterns for Rayleigh waves at each frequency are obtained from the real and imaginary parts of  $V_{\rm R}(\omega, \phi)$  as

$$A_{\rm R}(\omega,\phi) = \sqrt{(s_{\rm R}(\phi)S_{\rm R}(\omega))^2 + (p_{\rm R}(\phi)P_{\rm R}(\omega))^2 + (q_{\rm R}(\phi)Q_{\rm R}(\omega))^2}$$
  
$$\Phi_{\rm R}(\omega,\phi) = \arctan\frac{q_{\rm R}(\phi)Q_{\rm R}(\omega)}{s_{\rm R}(\phi)S_{\rm R}(\omega) + p_{\rm R}(\phi)P_{\rm R}(\omega)}.$$
 (4)

Similarly, the amplitude and phase radiation patterns for Love waves are

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$$A_{\rm L}(\omega,\phi) = \sqrt{(p_{\rm L}(\phi)P_{\rm L}(\omega))^2 + (q_{\rm L}(\phi)Q_{\rm L}(\omega))^2}$$
  

$$\Phi_{\rm L}(\omega,\phi) = \arctan\frac{q_{\rm L}(\phi)Q_{\rm L}(\omega)}{p_{\rm L}(\phi)P_{\rm L}(\omega)}.$$
(5)

The excitation functions  $S_R(\omega)$ ,  $P_{R/L}(\omega)$ , and  $Q_{R/L}(\omega)$ depend on the frequency-dependent eigenfunctions of the Earth, and hence the shapes of surface-wave radiation patterns may change over the frequency range of Rayleigh and Love waves. As a result, surface-wave radiation patterns are more difficult to derive than radiation patterns of body waves. However, radiation patterns of surface waves have been published for certain source mechanisms and frequencies (Haskell, 1953, 1963, 1964; Ben-Menahem, 1961, 1964), and can be used to infer the source mechanism of earthquakes. Because all research on surface waves depends on their radiation patterns, their understanding is important for data analysis and may influence conclusions in studies of surface waves.

#### Purpose

Surface-wave radiation patterns determine the amplitudes and phases of Rayleigh and Love waves in the far field of an earthquake and are intrinsically used in the research of surface waves. Because surface waves are often recorded with better signal-tonoise ratios (SNRs) in seismograms than body waves, surfacewave spectra as a function of azimuth have been used in inversions for source processes assuming a DC mechanism to obtain the strike, dip, and slip angle on a fault plane (Ben-Menahem and Toksöz, 1962, 1963a, 1963b; Wu and Ben-Menahem, 1965; Abe, 1970, 1972a, 1972b; Kanamori, 1970a, 1970b; Ben-Menahem et al., 1972; Wu and Kanamori, 1973; Kanamori and Cipar, 1974; Singh et al., 1975; Yoshioka and Abe, 1976; Herrmann, 1978; Stein and Okal, 1978; Herrmann et al., 1980; Lay et al., 1982; Romanowicz and Suárez, 1983; Zhang and Lay, 1990a, 1992; Beckers and Lay, 1995) or to further constrain focal mechanisms obtained by other methods (Lay and Kanamori, 1980; Zhang and Lay, 1990b; Velasco et al., 1993). Radiation patterns have also proven to be useful to derive other source parameters such as the source depth (Tsai and Aki, 1970), seismic moment (Furumoto and Fukao, 1976; Chen and Molnar, 1977; Niazi and Kanamori, 1981), rupture length (Zhang and Kanamori, 1988) and velocity, and stress drop. The constraints on different fault parameters are obtained by comparing the observed radiation pattern to theoretically derived surface-wave amplitudes for different sources and by comparing the spectra of synthetic seismograms and of observed waveforms. Radiation patterns can also be used to distinguish DC from other source types and point sources from sources with finite length. Deriving moment tensors for historic earthquakes relies strongly on an understanding of surface-wave amplitude radiation as they help explain the observed amplitudes in analog seismograms. Structural seismologists use surface-wave recordings as constraints on 3D varying Earth structure (e.g., waveform fitting, group- and phase-velocity dispersion measurements, and amplifying and attenuating properties of the crust and upper mantle) and require a good SNR and an understanding of expected signal amplitudes. Data selection is facilitated by knowledge of the location of nodes in the radiation patterns of the different types of surface waves. Radiation patterns can be used to distinguish between natural and anthropogenic sources such as explosions (Brune and Pomeroy, 1963; Liebermann and Pomeroy, 1969; Tsai and Aki, 1971; Aki and Tsai, 1972; Ekström et al., 2012) and serve to monitor the nuclear-test-ban treaty by governmental agencies. Highamplitude surface waves have been related to dynamic triggering of small local seismic events, suggesting that radiation patterns might hold predictive power over where dynamic triggering might occur after a large earthquake (Miyazawa and Mori, 2005; Rubinstein et al., 2007; Gomberg et al., 2008; Miyazawa and Brodsky, 2008; Miyazawa et al., 2008; Peng and Chao, 2008; Peng et al., 2008, 2009, 2013; Ghosh et al., 2009; Guilhem et al., 2010; Fry et al., 2011; Chao et al., 2012, 2013; Gonzalez-Huizar et al., 2012; Chao and Obara, 2016).

Because of the dependence on the eigenfunctions of the Earth, radiation patterns of surface waves vary with frequency. Therefore, they are more difficult to derive and require more effort to compute than those of body waves. Given their importance for source and structural seismology, easy access to surface-wave radiation patterns for different source mechanisms is desirable.

### Methodology

#### Seismic sources

 $M_{rr} = \sin \lambda \sin 2\delta$ 

Typical earthquakes are modeled by slip on a fault between tectonic plates characterized by the strike and dip of the fault plane and the slip angle. These angles describe a DC focal mechanism, which can be expressed mathematically as a symmetric moment tensor with vanishing trace and two equal, nonzero, but opposite eigenvalues. Moment tensors can also be used to represent other types of seismic events with nonzero isotropic components (Ross *et al.*, 2015; Okal *et al.*, 2018) or with compensated linear vector dipole (CLVD) components (Julian, 1983; Sipkin, 1986; Ross *et al.*, 1996; Julian *et al.*, 1997, 1998; Miller *et al.*, 1998; Vavryčuk, 2002; Shuler *et al.*, 2013), especially on nonplanar faults (Frohlich, 1994). From the fault angles for pure DC sources, moment tensor components are calculated as (Aki and Richards, 2002)

$$M_{\theta\theta} = -\sin\delta\cos\lambda\sin 2\phi_f - \sin 2\delta\sin\lambda(\sin\phi_f)^2$$
  

$$M_{\varphi\varphi} = \sin\delta\cos\lambda\sin 2\phi_f - \sin 2\delta\sin\lambda(\cos\phi_f)^2$$
  

$$M_{r\theta} = -\cos\delta\cos\lambda\cos\phi_f - \cos 2\delta\sin\lambda\sin\phi_f$$
  

$$M_{r\varphi} = \cos\delta\cos\lambda\sin\phi_f - \cos 2\delta\sin\lambda\cos\phi_f$$
  

$$M_{\theta\varphi} = -\sin\delta\cos\lambda\cos 2\phi_f - \frac{1}{2}\sin 2\delta\sin\lambda\sin 2\phi_f.$$
 (6)

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#### **Radiation patterns**

Kanamori and Given (1981) found analytic expressions for fundamental-mode Rayleigh- and Love-wave radiation pattern functions of seismic sources in terms of their moment tensor components

$$\begin{aligned} V_{\rm R}(\omega,\phi) &= P_{\rm R}(\omega) [M_{\theta\varphi} \sin 2\phi + \frac{1}{2} (M_{\varphi\varphi} - M_{\theta\theta}) \cos 2\phi] \\ &+ \frac{1}{3} [S_{\rm R}(\omega) + N_{\rm R}(\omega)] M_{rr} + \frac{1}{6} [2N_{\rm R}(\omega) - S_{\rm R}(\omega)] (M_{\theta\theta} + M_{\varphi\varphi}) \\ &+ iQ_{\rm R}(\omega) (M_{r\theta} \cos \phi - M_{r\varphi} \sin \phi) \\ V_{\rm L}(\omega,\phi) &= P_{\rm L}(\omega) \bigg[ \frac{1}{2} (M_{\theta\theta} - M_{\varphi\varphi}) \sin 2\phi + M_{\theta\varphi} \cos 2\phi \bigg] \\ &- iQ_{\rm L}(\omega) (M_{r\theta} \sin \phi + M_{r\varphi} \cos \phi). \end{aligned}$$
(7)

Because moment tensors can describe isotropic seismic sources, the radiation pattern functions for non-DC sources depend not only on the numerically derived excitation functions  $S_{\rm R}(\omega)$ ,  $P_{\rm R/L}(\omega)$ , and  $Q_{\rm R/L}(\omega)$ , but also on a function that describes the excitation of isotropic sources  $N_{\rm R}(\omega)$ . Because isotropic sources do not excite Love waves, only the Rayleighwave radiation pattern function includes this function.

The complex radiation pattern functions can be written as a linear combination of the moment tensor components and the Fourier transform of Green's functions computed for each of the moment tensor components. After grouping terms by moment tensor component following Dahlen (1980) and Dziewonski and Woodhouse (1983), the complex radiation patterns are

$$V_{\rm R/L}(\omega,\phi) = \sum_{i=1}^{6} M_i \tilde{G}_{\rm R/L,i}(\omega), \qquad (8)$$

in which  $M_i$  are the moment tensor components  $M_{rr}$ ,  $M_{\theta\theta}$ ,  $M_{\varphi\varphi}$ ,  $M_{r\theta}$ ,  $M_{r\theta}$ ,  $M_{r\varphi}$ , and  $M_{\theta\varphi}$ , and

patterns displayed in the data product represents their absolute value at each azimuth

 $A_{\mathrm{R/L}}(\omega,\phi)$ 

$$= \sqrt{\left[\sum_{i=1}^{6} M_i \Re e(\tilde{G}_i(\omega, \phi))\right]^2 + \left[\sum_{i=1}^{6} M_i \Im m(\tilde{G}_i(\omega, \phi))\right]^2}.$$
 (10)

#### Data product

For a given Earth model, surface-wave radiation patterns depend on the moment tensor components of the focal mechanism, the source depth, and the frequency of seismic waves. Using the spectra of the Green's functions  $\tilde{G}_i(\omega, \phi)$  for elementary moment tensors and weighting them with the selected moment tensor components  $M_i$ , radiation patterns for Rayleigh and Love waves can be calculated efficiently for any source mechanism at different frequencies and source depths.

The Global Centroid Moment Tensor (CMT) project (Dziewonski et al., 1981; Ekström et al., 2012) has provided moment tensor solutions for thousands of events since 1976. Our data product provides plots of the radiation patterns for all earthquakes with moment magnitude  $M_{\rm w} \ge 6.0$  in the catalog of the Global CMT project for the provided CMT and centroid source depths, but negligible half-duration. The precomputed radiation patterns of the event-based data product are accompanied by the interactive data product that allows users to enter source parameters of their choice or modify the source parameters of known events to display the radiation patterns for Rayleigh and Love waves. Though being an independent product, the interactive product can be accessed from the event page of the static product to visualize changes in the radiation patterns caused by changes in the source parameters of the earthquake.

Their amplitudes in the data product are displayed as a function of azimuth for frequencies of the fundamental

$$\begin{split} \tilde{G}_{\mathrm{R},rr}(\omega,\phi) &= \frac{1}{3}S_{\mathrm{R}}(\omega) + \frac{1}{3}N_{\mathrm{R}}(\omega) & \tilde{G}_{\mathrm{L},rr}(\omega,\phi) = 0 \\ \tilde{G}_{\mathrm{R},\theta\theta}(\omega,\phi) &= -\frac{1}{2}P_{\mathrm{R}}(\omega)\cos 2\phi - \frac{1}{6}S_{\mathrm{R}}(\omega) + \frac{1}{3}2N_{\mathrm{R}}(\omega) & \tilde{G}_{\mathrm{L},\theta\theta}(\omega,\phi) = \frac{1}{2}P_{\mathrm{L}}(\omega)\sin 2\phi \\ \tilde{G}_{\mathrm{R},\phi\phi}(\omega,\phi) &= \frac{1}{2}P_{\mathrm{R}}(\omega)\cos 2\phi - \frac{1}{6}S_{\mathrm{R}}(\omega) + \frac{1}{3}N_{\mathrm{R}}(\omega) & \tilde{G}_{\mathrm{L},\phi\phi}(\omega,\phi) = -\frac{1}{2}P_{\mathrm{L}}(\omega)\sin 2\phi \\ \tilde{G}_{\mathrm{R},r\theta}(\omega,\phi) &= iQ_{\mathrm{R}}(\omega)\cos\phi & \tilde{G}_{\mathrm{L},r\theta}(\omega,\phi) = -iQ_{\mathrm{L}}(\omega)\sin\phi \\ \tilde{G}_{\mathrm{R},r\phi}(\omega,\phi) &= iQ_{\mathrm{R}}(\omega)\sin\phi & \tilde{G}_{\mathrm{L},r\phi}(\omega,\phi) = iQ_{\mathrm{L}}(\omega)\cos\phi \\ \tilde{G}_{\mathrm{R},\theta\phi}(\omega,\phi) &= P_{\mathrm{R}}(\omega)\sin 2\phi & \tilde{G}_{\mathrm{L},\theta\phi}(\omega,\phi) = P_{\mathrm{L}}(\omega)\cos 2\phi \end{split}$$

$$(9)$$

are the Green's functions for Rayleigh and Love waves, respectively. Summing the Green's functions weighted by the moment tensor components provides the complex spectrum for synthetic radiation patterns. The amplitude of the radiation Rayleigh and Love waves in the surface-wave range (Fig. 1) calculated for a spherically symmetric nonrotating Earth (Gilbert, 1971). Like the Global CMT project, we assume a point source and do not take into account directivity effects.

#### Surface-wave radiation pattern for *M*<sub>W</sub> 6.0 (Global CMT) Nevada of 21 February 2008 14:16:02



**Figure 1.** Radiation patterns of the Nevada earthquake on 21 February 2008.

Because surface-wave radiation patterns generated for different Earth models show only small differences in the absolute amplitudes and are practically indistinguishable from each other in the relative amplitudes, we provide a database for the model iasp91 (Snoke, 2009), a 1D seismic-velocity model that has been constructed to replicate the travel-time characteristics of seismic body waves.

The database of this data product contains the complex spectra of Green's functions of displacement at virtual stations located at an epicentral distance of 10° from the epicenter. At this distance, near-field effects are negligible and the radiation pattern reflects only far-field effects (Aki and Richards, 2002). Attenuation along the seismic-wave propagation and geometric spreading have been taken into account during their calculation.

#### Magnitude

For each provided source mechanism, the moment magnitude is calculated from the DC component of the moment tensor (Aki and Richards, 2002). Together with the isotropic and CLVD component, the DC component composes the moment tensor of an earthquake. After removing the isotropic component of the moment tensor as

$$\mathbf{M}_{\rm dev} = \mathbf{M} - \mathbf{M}_{\rm iso},\tag{11}$$

in which  $\mathbf{M}_{iso} = \frac{1}{3}(M_{rr} + M_{\theta\theta} + M\varphi\varphi)\mathbf{I}$ , we decompose the deviatoric moment tensor into a DC and a CLVD moment tensor (Knopoff and Randall, 1970)

$$\mathbf{M}_{\rm dev} = \mathbf{M}_{\rm DC} + \mathbf{M}_{\rm CLVD}.$$
 (12)

For the moment tensor decomposition, we determine the eigenvalues  $M_1 > M_2 > M_3$  of the deviatoric moment tensor  $\mathbf{M}_{\text{dev}}$ . The eigenvalues of the DC moment tensor  $\mathbf{M}_{\text{DC}}$ 

are then  $M_0$  and  $-M_0$ , and the seismic moment is determined from the dominant eigenvalues as (Dziewonski and Woodhouse, 1983)

$$M_0^{\rm DC} = \frac{1}{2} (|M_1| + |M_3|).$$
(13)

The seismic moment of the CLVD component follows as

$$M_0^{\text{CLVD}} = |M_2|. \tag{14}$$

With the scalar moment of the DC component  $M_0$  in Nm, the moment magnitude of an earthquake is obtained as (Kanamori, 1977; Hanks, 1979)

$$M_{\rm w} = \frac{2}{3} (\log M_0^{\rm DC} - 9.1). \tag{15}$$

#### Discussion

Surface-wave radiation patterns have been calculated for theoretical source mechanisms since 1964 when Haskell (1963) showed the influence of the dip and slip angle on the amplitudes of Rayleigh waves. Our data product allows calculating the radiation patterns of both Rayleigh and Love waves for any source that can be represented as a moment tensor. While it is obvious that the orientation of the radiation pattern changes with the strike  $\phi_f$  of the fault on which the earthquake occurs, the influence of the dip angle  $\delta$ , the slip angle  $\lambda$ , the hypocentral depth, and the frequency of seismic waves is more complex. The lobe patterns change with hypocentral depth because each frequency component is excited with a different amplitude.

#### Influence of dip angle

The Tohoku earthquake on 11 March 2011 occurred on a shallowly dipping reverse fault with a strike of  $\phi_f = 203^\circ$  and a dip of  $\delta = 10^\circ$  at a depth of 20 km as reported by the Global CMT project. Its surface-wave radiation pattern is typical for a reverse fault with the nodes of the Rayleigh-wave radiation pattern aligned perpendicular to the fault and the antinodes of the Love-wave radiation pattern aligned along the direction of the fault (Fig. 2). Although the shapes of the radiation patterns do not change with the frequency of the seismic waves for this source mechanism, the amplitudes increase with frequency.

To assess the influence of the dip angle of the fault plane on the radiation patterns of surface waves, we decompose the moment tensor of the earthquake into a DC and a CLVD component. Because the Global CMT project assumes a vanishing isotropic component for all source mechanisms, the reported moment tensors are purely deviatoric. The eigenvectors of the DC moment tensor are its seismic moment  $M_0$  and its opposite  $-M_0$ . If **Q** is a square matrix constructed from the eigenvectors of **M**<sub>DC</sub>, the DC component of the moment tensor is

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Surface-wave radiation pattern for

**Figure 2.** Radiation patterns of the Tohoku earthquake on 11 March 2011.

$$\mathbf{M}_{\mathrm{DC}} = \mathbf{Q} \mathbf{\Lambda} \mathbf{Q}^{-1},\tag{16}$$

in which  $\Lambda$  is a diagonal matrix with the eigenvalues of the DC moment tensor  $M_1$ ,  $M_2$ , and  $M_3$  on its diagonal. The CLVD component of the moment tensor is then given as

$$\mathbf{M}_{\mathrm{CLVD}} = \mathbf{M}_{\mathrm{dev}} - \mathbf{M}_{\mathrm{DC}}.$$
 (17)

By calculating DC moment tensors for different dip angles from equation (6) and adding the CLVD component of the moment tensor to it, we can modify the orientation of the fault plane of the DC component without changing the CLVD component. The radiation patterns for source mechanisms with a varying dip angle reveal that the steepness of the fault on which an earthquake occurs has influence on the shapes of the radiation patterns for both Rayleigh and Love waves. Nodal planes disappear for Rayleigh waves and appear for Love waves as the dip angle increases up to 45° after which the shapes of the radiation patterns change in the opposite way, setting constraints on the orientation of the fault plane (Fig. 3).

#### Influence of slip angle

The  $M_{\rm w}$  6.2 earthquake that occurred in Yemen on 13 December 1982 was one of the largest ever recorded in the country and due to its small source depth of 10 km, it caused severe destruction and numerous casualties. Its focal mechanism was a typical normal fault ( $\lambda = 86^{\circ}$ ) on a northwest-striking fault ( $\phi_f = 320^{\circ}$ ) with a dip angle of  $\delta = 53^{\circ}$  (Fig. 4).

The influence of a varying slip angle can be addressed when decomposing the moment tensor and changing the orientation of the slip vector of the DC component while leaving the orientation of the fault plane unchanged. By changing the slip angle, the source changes from a normal fault to a left-lateral strike-slip fault, and the shape of the radiation





**Figure 3.** Influence of the dip angle on the radiation patterns of the Tohoku earthquake on 11 March 2011.

pattern of Rayleigh waves change significantly while the radiation pattern of Love waves merely varies in orientation and amplitude (Fig. 5).

The radiation patterns of earthquakes on reverse and normal faults have the same radiation pattern, and earthquakes on right- and left-lateral strike-slip faults generate the same surface-wave amplitudes when not considering their phase. This reflects the invariance of surface-wave radiation patterns to inversions of the slip vector, mathematically expressed as a





**Figure 4.** Radiation patterns of the Yemen earthquake on 13 December 1982.

change in the slip angle  $\lambda$  by 180°. This statement is true for arbitrary dip angles  $\delta$  and strike angles  $\phi_f$ .

#### Influence of source depth

The 24 May 2013 earthquake in the Sea of Okhotsk was the largest deep earthquake ever recorded and had a source depth of 611 km. Because of its deep source, the amplitudes of Rayleigh and Love waves are the largest for low frequencies (Fig. 6). Compared to the amplitudes at 0.01 Hz, the radiation patterns nearly vanish for other frequencies.

The source depth has a significant influence on the radiation patterns: the amplitudes of both Rayleigh and Love waves increase for smaller source depths and are dominated by high frequencies (Fig. 7). This change can be observed for all earthquakes at different source depths.

#### **Observed source mechanisms**

**Iraq–Iran border region earthquake.** The earthquake that occurred in the border region between Iraq and Iran on 12 November 2017 was caused by motion on a reverse fault shallowly dipping ( $\delta = 11^{\circ}$ ) to the northeast ( $\phi_f = 351^{\circ}$ ). With a slip angle of  $\lambda = 140^{\circ}$ , the motion on the fault consisted of oblique thrust with components of right-lateral strike-slip motion (Talebian and Jackson, 2004). Despite its complicated source mechanism, the surface-wave radiation patterns of this earthquake with two lobes perpendicular to the fault for Rayleigh waves and along the fault for Love waves (Fig. 8) are typical for a reverse fault. For the source mechanism of this earthquake, the shapes of the radiation patterns do not depend on the frequency of the seismic waves. As expected for shallow earthquake sources, its amplitudes are largest for high frequencies.

**Northridge earthquake.** The Northridge earthquake that occurred on 17 January 1994 caused significant damage despite

# Surface-wave radiation pattern for $M_{\rm W}$ 6.2 (Global CMT) western Arabian Peninsula with different slip angles





**Figure 5.** Influence of the slip angle on the radiation patterns of the Yemen earthquake on 13 December 1982.

its moderate moment magnitude of  $M_w$  6.6. A previously undiscovered fault, now named the Northridge blind-thrust fault, generated the highest ground acceleration ever recorded in an urban area in North America (Trifunac *et al.*, 1994). The source mechanism of this earthquake had a strike of  $\phi_f = 130^\circ$ , a dip of  $\delta = 42^\circ$ , and a slip of  $\lambda = 116^\circ$  (Thio and Kanamori, 1996) and was hence a combination between a thrust fault and a right-lateral strike-slip fault. The shapes of the radiation

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#### Surface-wave radiation pattern for *M*<sub>W</sub> 8.3 (Global CMT) Sea of Okhotsk of 24 May 2013 05:44:49



**Figure 6.** Radiation patterns of the Okhotsk earthquake on 24 May 2013.

patterns for Rayleigh and Love waves differ significantly for this earthquake: Love waves have a four-lobed radiation pattern whose shape does not change with frequency while Rayleigh waves change from a two-lobed to a single-lobed radiation pattern with increasing frequency. The shape of the Rayleigh-wave radiation pattern changes as the orientation of its nodal planes changes while the nodal planes of the radiation pattern of Love waves remains constant (Fig. 9).

Kaikoura earthquake. On 13 November 2016, an earthquake with a complex source mechanism ruptured at least six faults on the South Island of New Zealand. Its CMT was reported as a combination between oblique thrust and a rightlateral strike slip (Cesca et al., 2017; Lo et al., 2018). Both the radiation patterns of Rayleigh and Love waves change slightly with frequency for this earthquake. Although the orientation of the nodal planes of the two-lobed radiation pattern of Rayleigh waves changes, they have the same orientation for all frequencies in the Love-wave radiation pattern. However, Love waves have no clear nodes for higher frequencies, distinguishing this earthquake from most other source mechanisms (Fig. 10). Frequency-dependent nodal-plane orientations are usually observed for source mechanisms with significant CLVD component. The complex source mechanism of the Kaikoura earthquake has been modeled as a CMT with a large CLVD component whose moment amounts to 17% of the seismic moment of the DC component. As a result, the shapes of the surface-wave radiation patterns of the Kaikoura earthquake vary most noticeably with frequency.

#### Conclusions

Surface-wave radiation patterns for DC source mechanisms can be obtained analytically from the strike and dip of the fault plane and the slip angle and the numerically derived excitation functions  $S_{\rm R}(\omega)$ ,  $P_{\rm R/L}(\omega)$ , and  $Q_{\rm R/L}(\omega)$ . Source mechanisms



Surface-wave radiation pattern for

M<sub>W</sub> 8.3 (Global CMT) Sea of Okhotsk

Spectral amplitudes in 10<sup>1</sup> m/Hz

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**Figure 7.** Influence of the source depth on the radiation patterns of the Okhotsk earthquake on 24 May 2013.

with an isotropic or a CLVD component have to be described as a moment tensor, and their Rayleigh- and Love-wave radiation patterns have to be computed from Green's functions. Based on the moment tensor components and the source depth, our surface-wave radiation pattern product displays the spectral amplitudes for both types of surface waves for different frequencies.



Surface-wave radiation pattern for

**Figure 8.** Radiation patterns of the Iraq–Iran border earthquake on 12 November 2017.



Figure 9. Radiation patterns of the Northridge earthquake on 17 January 1994.

The availability of the surface-wave radiation pattern product on Incorporated Research Institutions for Seismology's website can facilitate research on surface waves conducted by source and structural seismologists. Because the radiation patterns of Love and Rayleigh waves depend on the source process of the earthquake, amplitudes and phases of surface waves can help constrain the source mechanism. Both the source process and the Earth structure along the path of seismic-wave propagation have influence on the waveforms observed at seismic stations. Hence, the radiation pattern product can help separate the influence of the source process from path effects on seismic waves.

The shapes of the surface-wave radiation patterns may vary with the frequency of the seismic waves both for earthquakes with pure DC source mechanisms and other source types. The orientation of nodal planes does not vary with frequency for





Figure 10. Radiation patterns of the Kaikõura earthquake on 13 November 2016.

DC source mechanisms, but is observed for earthquakes with a significant CLVD component. The hypocentral depth of an earthquake determines the frequency at which the amplitudes of surface waves are the largest. Shallow earthquakes have larger amplitudes for higher frequencies than deep earthquakes. However, earthquakes with a complex source mechanism have small surface-wave amplitudes at frequencies where the shapes of the radiation patterns change.

#### **Data and Resources**

We use spectral amplitudes for theoretical Green's functions to calculate the Rayleigh- and Love-wave amplitudes displayed in the Surface-Wave Radiation Pattern product. Its database has been generated with a modification of Mineos (Woodhouse, 1988), which computes surface-wave mode branches rather than normal modes for a spherically symmetric nonrotating Earth. Mineos is an opensource software whose source code is available through the website of the Computational Infrastructure for Geodynamics (https:// geodynamics.org/cig/software/mineos/). All plots in this article are based on the Surface-Wave Radiation Pattern product, located at http://ds.iris.edu/ds/products/surface-wave-radiation-patterns/. All websites were last accessed on November 2019.

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